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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled LARGE SURFACE AREA X-RAY TUBE SHIELD STRUCTURE in the name of the following inventor: Gregory C. Andrews.

Enclosed are the following:

- X A specification, claims, abstract, and cover page in total comprising forty four (44) pages.
- X Twelve (12) sheets of drawings.
- X A single signature Declaration, Power of Attorney and Petition.
- X An Assignment conveying the invention to Varian Medical Systems, Inc., including a Form PTO 1595 recordation cover sheet.
- X A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EL394375864US.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$345		\$690
TOT. CLAIMS	41 - 20=	21	X 9=		X 18=	378
IND. CLAIMS	4 - 3=	1	X 39		X 78=	78
MULTIPLE DEPENDENT CLAIM			+130=		+260=	
			<b>TOTAL</b>		<b>TOTAL</b>	\$1,146.00

X Check No. 117464 in the amount of \$1,186.00 is enclosed to cover:

X The \$1,146.00 government filing fee.

X The \$40.00 recordation fee of the enclosed assignment.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. § 1.17.

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 6<sup>th</sup> day of September 2000.

Respectfully submitted,



ERIC L. MASCHOFF  
Attorney for Applicant  
Registration No. 36,596



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Date of Deposit: September 6, 2000

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PATENT APPLICATION  
WNS Docket No.14374.36  
Varian Docket No. 00-07

**of**

**GREGORY C. ANDREWS**

**for a**

## LARGE SURFACE AREA X-RAY TUBE SHIELD STRUCTURE

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**1. Continuation-In-Part Application**

This application is a Continuation-In-Part of United States Patent Application Serial No. 09/351,579, entitled "X-RAY TUBE COOLING SYSTEM," and filed 12 Jul 99. The aforementioned United States Patent Application is incorporated herein in its entirety by this reference.

## 2. The Field of the Invention

The present invention relates generally to x-ray tubes. More particularly, embodiments of the present invention relate to an x-ray tube cooling system that increases the rate of heat transfer from the x-ray tube to a cooling system medium, thereby significantly reducing heat-induced stress and strain in x-ray tube structures and extending the operating life of the device.

### 3. The Relevant Technology

X-ray producing devices are extremely valuable tools that are used in a wide variety of applications, both industrial and medical. For example, such equipment is commonly used in areas such as diagnostic and therapeutic radiology; semiconductor manufacture and fabrication; and materials analysis and testing.

While used in a number of different applications, the basic operation of x-ray devices is similar. In general, x-rays, or x-ray radiation, are produced when electrons are produced and released, accelerated, and then stopped abruptly. The typical basic x-ray tube has a cathode cylinder with an electron generator, or cathode, at one end. Electrical power applied to a filament portion of the cathode generates electrons by thermionic emission. A target anode is axially spaced apart from the cathode, and is oriented so as to receive

1 electrons emitted by the cathode. Also present is a voltage source that is used to apply a high  
2 voltage potential between the cathode and the anode.

3 In operation, the high voltage potential is applied between the cathode and the  
4 anode, which causes the thermionically emitted electrons to accelerate away from the cathode  
5 and towards the anode in an electron stream. The accelerating electrons then strike the target  
6 anode surface (or focal track) at a high velocity. The target surface on the anode is composed  
7 of a material having a high atomic number, and a portion of the kinetic energy of the striking  
8 electron stream is thereby converted to electromagnetic waves of very high frequency, i.e.,  
9 x-rays. The resulting x-rays emanate from the target surface, and are then collimated through  
10 a window formed in the x-ray device for penetration into an object, such as a patient's body.  
11 As is well known, the x-rays that pass through the object can be detected and analyzed so as  
12 to be used in any one of a number of applications, such as x-ray medical diagnostic  
13 examination or material analysis procedures.

14 A percentage of the electrons that strike the anode target surface do not generate x-  
15 rays, and instead simply rebound from the surface. These are often referred to as "back-  
16 scatter" electrons. In some x-ray tubes, some of these rebounding electrons -- still traveling  
17 at relatively high velocities -- are blocked and collected by a shield structure that is  
18 positioned between the cathode and the anode so the rebounding electrons do not re-strike  
19 the target surface of the anode. In this way, the rebounding electrons are prevented from re-  
20 impacting the target anode and producing "off-focus" x-rays, which can negatively affect the  
21 quality of the x-ray image. Some of the rebounding electrons may also impact the interior  
22 of the cathode cylinder.

23 While such a shield structure may prevent rebounding electrons from re-striking the  
24 anode target, its use can result in additional problems that can ultimately damage the x-ray  
25 tube device, and shorten its operational life. In particular, the high kinetic energy of the  
26 rebounding electrons is converted to thermal energy by the impact of those electrons on the





1 of the cathode cylinder are placed in direct contact with a circulating coolant, which  
2 facilitates a convective cooling process. Often however, this approach is not satisfactory for  
3 cooling an adjacent shield structure, which has a limited external surface area, and, because  
4 it is exposed to extremely high temperatures from rebounding electrons, is unable to  
5 efficiently transfer significant amounts of heat by convection to the coolant.

6 To address this problem, shield structures have been fashioned with internal cooling  
7 passages through which a coolant stream is circulated. Thus, the shield structure gives up  
8 heat primarily by convection to the coolant which flows through its interior. This approach  
9 has not been entirely satisfactory either. Due to the limited size of such cooling passages,  
10 only a limited amount of heat can be absorbed by the coolant, and consequently the shield  
11 structure may not be adequately cooled. Thus, x-ray devices of this sort may experience  
12 greater failure rates and shorter operating lives due to repeated exposure to higher  
13 temperatures and resultant stresses.

14 Also, in systems of this sort, the coolant must be capable of absorbing significant  
15 amounts of heat in order to preclude harmful thermal stresses and strain in the shield  
16 structure and cathode cylinder. However, with current designs, the circulated coolant  
17 eventually, and often prematurely, experiences thermal breakdown and is no longer able to  
18 effectively remove heat from the x-ray tube. Again, this translates into an x-ray device that  
19 is more subject to failure and that typically has an overall shorter operating life.

20 Currently available cooling system designs are lacking in another respect as well.  
21 As noted, heat produced within the x-ray tube is not evenly distributed. However, currently  
22 available cooling systems are not capable of removing heat from certain higher-temperature  
23 areas of the x-ray tube faster than cooler areas. Instead, the rate of heat transfer is fairly  
24 constant throughout the x-ray tube in existing systems. As such, those regions that are  
25 exposed to higher temperatures are not adequately cooled, and experience a greater failure  
26 rate.





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Other objects and advantages of the invention will become apparent upon reading the following detailed description and appended claims, and upon reference to the accompanying drawings.

Briefly summarized, the foregoing objects and advantages are provided with an improved x-ray tube cooling system. A preferred embodiment of the system includes a reservoir containing a liquid coolant that is continuously circulated by way of a heat exchanger device. Disposed within the coolant reservoir is an x-ray tube, which consists of a cathode cylinder having an electron source, such as a cathode head assembly, disposed therein. The x-ray tube is also comprised of an evacuated housing that encloses an anode having a target surface capable of receiving electrons emitted by the electron source. Disposed between the cathode cylinder and the x-ray tube housing is a shield structure. The shield structure defines an aperture through which electrons are passed from the electron source to the target surface to generate x-rays. Moreover, the shield structure provides an electron collection surface, that prevents electrons that rebound from the target surface from re-striking the target.

In a preferred embodiment, at least one fluid passageway is formed within the shield structure. The fluid passageway receives coolant from the reservoir from an inlet port, which then passes through the passageway so as to absorb heat generated in the shield structure, including heat generated as a result of rebounding electrons striking inner surfaces of the shield.

Preferred embodiments of the cooling system also include a plurality of extended surfaces, or cooling fins, that are affixed to the outer surface of the shield structure. Coolant exiting the fluid passageway is allowed to flow across the extended surfaces, which are oriented in a manner so as to conduct heat from the shield to the coolant.

In one preferred embodiment, the cooling system also includes means for augmenting the heat transfer capability of the fluid passageway. In an illustrated



1 structurally sound, and that is able to survive the varying temperatures, and resultant stresses  
2 imposed during operation of the x-ray tube.

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**BRIEF DESCRIPTION OF THE DRAWINGS**

In order to more fully understand the manner in which the above-recited and other advantages and objects of the invention are obtained, a more particular description of the invention will be rendered by reference to specific embodiments thereof which are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention in its presently understood best mode for making and using the same will be described and explained with additional specificity and detail through the use of the accompanying drawings in which:

- Figure 1 is a plan view of one preferred embodiment of the cooling system;
- Figure 2 is an isometric cross-section view of an embodiment of the cathode cylinder and shield structure depicted in Figure 1;
- Figure 3 is a perspective view of an embodiment of the shield structure;
- Figure 4 is a side view of the embodiment of the shield structure of Figure 3;
- Figure 5A is a cross-section view of an embodiment of the shield assembly;
- Figure 5B is a plan view of an embodiment of an aperture disk;
- Figure 6A is a plan view of an embodiment of an aperture disk, indicating the flow path of coolant through the lower fluid passageway of the shield assembly;
- Figure 6B is a plan view of an alternative embodiment of the aperture disk indicated in Figure 6A;
- Figure 7 is a perspective view of another embodiment of the shield assembly;
- Figure 8 is a side view of the embodiment of the shield structure of Figure 7;
- Figure 9 is a plan view of the embodiment of the shield structure of Figure 7;
- Figure 10 is a cross-section of the embodiment of the shield structure of Figure 7;
- Figure 11 is an exploded perspective view of another embodiment of the shield structure;

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Figure 12A is a plan view of the embodiment of the shield structure depicted in Figure 11;

Figure 12B is a cross-section view, taken along line 12B-12B in Figure 12A, of the embodiment of the shield structure depicted in Figure 11;

Figure 13A is a plan view of another embodiment of the aperture disk, indicating the flow path of coolant through the lower fluid passageway of the shield assembly;

Figure 13B is a plan view of an alternative embodiment of the aperture disk indicated in Figure 13A;

Figure 14 is a plan view of an alternative embodiment of the cooling system;

Figure 15 is a cross-section view of a cathode cylinder, shield assembly, and can;

and

Figure 16 is a detail view taken along line 16-16 in Figure 15, showing an embodiment of a braze joint configuration between the aperture disk and the can.



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1 In a presently preferred embodiment, the shield structure includes a means for  
2 transferring heat away from the shield structure. By way of example and not limitation, in  
3 one preferred embodiment the heat transfer means is comprised of a plurality of cooling  
4 members or "fins," which are designated at 110 in Figure 1 and are shown in further detail  
5 in Figures 2, 3, 4 and 5A. These cooling fins 110 are comprised of adjacent annular  
6 extended surfaces formed about the periphery of the outer surface of the shield structure 108,  
7 and are at least partially exposed to the liquid coolant 114 disposed in the reservoir of  
8 housing 112, as is indicated in Figure 1.

9 In general, the cooling fins 110 effectively increase the amount of surface area of the  
10 shield structure 108 that is in contact with the reservoir coolant, and they thereby function  
11 to increase the efficiency and rate at which heat is conducted and transferred from the shield  
12 to the coolant. This can best be seen in the views of an embodiment of shield structure 108  
13 indicated in Figures 3 and 4. As is illustrated, the plurality of cooling fins 110 are formed  
14 about the entire outer surface of the shield structure 108, and are spaced apart so as to permit  
15 coolant to flow between the fins, and to maximize that portion of the surface area of shield  
16 assembly 117 that is exposed to the coolant. In this way, heat generated at the electron  
17 collection surface 124, the inner surface 125 of shield structure 108, or at the inner surface  
18 109 (Figure 2) of the cathode cylinder 102, by the impact of rebounding electrons, can be  
19 conducted to the cooling fins 110 and then more efficiently transferred to the liquid coolant  
20 114. Thus, the cooling fins 110 are particularly useful in facilitating heat transfer by  
21 convection from the areas of the shield structure 108 and the cathode cylinder 102 to the  
22 liquid coolant 114, thereby reducing the damaging thermal effects of the rebounding  
23 electrons.

24 The enhanced cooling effect provided by the fins improves the operational life of  
25 the x-ray tube in other ways. By conducting relatively more of the shield structure 108 heat  
26 to the coolant, the cooling fins 110 reduce the heat load imposed on the coolant that is

1 circulated through coolant passages formed in the shield structure (described below). In  
2 other words, the cooling fins 110 serve to more efficiently redistribute the heat conducted  
3 from the shield structure 108. In a preferred embodiment, the cooling effect produced by the  
4 fins results in a reduction of about 7 percent to about 9 percent in the heat load imposed on  
5 the circulating coolant. Because the heat load on the coolant circulating through the shield  
6 structure is reduced, the circulating coolant is substantially less likely to experience thermal  
7 breakdown. The benefit is a longer lasting and more reliable x-ray tube device.

8 While a preferred embodiment of this invention employs fins to increase the overall  
9 rate of heat transfer from the shield structure, and thus from the x-ray tube, it is recognized  
10 that an increase in the surface area by use of alternative structures or elements of the exposed  
11 surfaces of the shield can be used to cause a rise in the rate at which heat is transferred to the  
12 reservoir coolant. Furthermore, while cooling fins integral with the shield structure represent  
13 a preferred embodiment, this invention also contemplates discrete cooling fins, or a cooling  
14 fin structure that is separately attachable to the shield structure and/or the cathode cylinder,  
15 or similar arrangements.

16 The cooling system of the present invention also preferably includes additional fluid  
17 passageways that are placed substantially proximate to the sources of heat and thereby  
18 function to further enhance the removal of heat generated within the x-ray tube during  
19 operation -- especially in the area of the shield structure 108. Examples of such fluid  
20 passageways are denoted at 131 and 132 in Figures 2 through 4.

21 With continuing reference now to Figures 2 through 4, additional details are  
22 provided regarding various features of fluid passageways 132. In particular, fluid  
23 passageways 132 are formed around the outer periphery of the shield structure 108. These  
24 are formed with a plurality of spaced apart cooling surfaces 126, also in the form of ridges,  
25 that, when inserted within the recess 155 of can 107/manifold 116 abut against the inner  
26 surface of the recess 155 so as to cooperatively form individual fluid passageways 132.





1 or in a radiused point, or inverted "U" shaped, geometry. Also, while microgrooves 111A,  
2 113A, and 115A are preferably formed so that their respective cross sections are substantially  
3 in the shape of a "V," any other cross sectional shape that serves to facilitate, maintain, or  
4 otherwise promote nucleate boiling of the coolant (discussed below) is contemplated as being  
5 within the scope of the present invention.

6 It will further be appreciated that, in addition to their geometry, the number and/or  
7 arrangement of microgrooves 111A, 113A, and 115A, and/or microridges, 111B, 113B, and  
8 115B may be varied as required to achieve one or more desired effects. For example, that  
9 portion of recess 155 which forms the outer boundary of fluid passageway 131 may be  
10 configured to include a plurality of microgrooves and microridges so that the entire wetted  
11 perimeter of fluid passageway 131 comprises microgrooves and/or microridges, wherein the  
12 wetted perimeter is contemplated as comprising, collectively, those surfaces of fluid  
13 passageway 131 in contact with the liquid coolant 114. In a preferred embodiment, the  
14 wetted perimeter comprises surfaces 111, 113, 115, and that portion of recess 155 that  
15 defines the outer periphery of fluid passageway 131. Alternatively, microgrooves 111A,  
16 113A, and 115A, and/or microridges 111B, 113B, and 115B can be selectively employed in  
17 the wetted perimeter of fluid passageway 131 so that some portions of the wetted perimeter  
18 include microgrooves and microridges, and other portions do not.

19 Finally, the formation of the microgrooves and microridges on at least some portions  
20 of the wetted perimeter of fluid passageway 131 may be such that they are arranged  
21 substantially parallel to each other and to the flow of liquid coolant 114 through shield  
22 structure 108 and aperture disk 137. Exemplary arrangements include, but are not limited  
23 to, those wherein the microgrooves and microridges are disposed in a concentric or  
24 phonographic arrangement. It will be appreciated that such arrangements serve to facilitate  
25 a relative increase in heat transfer from shield structure 108 to liquid coolant 114, without





1 In particular, the roughness of the wetted perimeter of fluid passageway, achieved  
2 through the use of microgrooves and microridges, serves to stimulate and/or enhance  
3 nucleate boiling of the coolant flowing through the fluid passageway. Typically, nucleate  
4 boiling results in a dual phase flow of coolant, that is, the coolant is present in both liquid  
5 and vapor states. It is well known that nucleate boiling is a highly efficient vehicle for the  
6 transfer of heat and that, to a large extent, the heat flux achieved with nucleate boiling  
7 increases in correspondence with the surface roughness. In general then, a relatively rougher  
8 surface facilitates a relative increase in heat transfer over what could be achieved through  
9 employment of a relatively smooth surface that is equivalent to the rougher surface in all  
10 other respects.

11 Surface roughness may be considered in terms of the availability of nucleation sites,  
12 or those geometric features which, by virtue of their shape and/or disposition, help to  
13 promote and maintain nucleate boiling. In particular, the vertices of the "V" shaped  
14 microgrooves act as nucleation sites inside fluid passageway 131. Accordingly, the  
15 microgrooves are particularly well-suited to facilitate stimulation and maintenance of  
16 nucleate boiling.

17 Note that a variety of means may be profitably be employed to perform the  
18 functions, enumerated herein, of the plurality of depressions. Microgrooves 111B, 113B,  
19 and 115B are but one example of a means for facilitating nucleate boiling of the coolant.  
20 Accordingly, the microgrooves disclosed herein simply represent one embodiment of  
21 structure capable of performing this function. It should be understood that this structure is  
22 presented solely by way of example and should not be construed as limiting the scope of the  
23 present invention in any way.

24 To briefly summarize, microgrooves 111A, 113A, and 115A, and microridges 111B,  
25 113B, and 115B facilitate a relative improvement in heat transfer from shield structure to  
26 liquid coolant 114 in at least two ways. First, microgrooves 111A, 113A, and 115A, and

1 microridges 111B, 113B, and 115B embody an increase in the overall surface area of shield  
2 structure 108 in contact with liquid coolant 114. Because the rate of heat transfer is at least  
3 partly a function of surface area, the increased surface area of shield structure 108 permits  
4 a relative increase in the rate of heat transfer from shield structure 108 to liquid coolant 114.  
5 Additionally, the roughness imparted to the wetted perimeter of fluid passageway 131 by  
6 microridges 111B, 113B, and 115, and in particular, by microgrooves 111A, 113A, and  
7 115A, and serves to stimulate and maintain nucleate boiling of liquid coolant 114, and  
8 thereby desirably increases the heat flux between shield structure 108 and liquid coolant 114.

9 Various additional features of shield assembly 117 and its operation in conjunction  
10 with other components of x-ray tube 101, with particular attention to the flow path of liquid  
11 coolant 114, are indicated in the following discussion. In general, and as indicated in Figure  
12 1, the liquid coolant 114 is supplied to the housing 112 via a inlet conduit 105 disposed  
13 within the housing 112 reservoir. The inlet conduit 105 is connected to a manifold  
14 inlet/outlet connection 118 that is affixed, or formed integrally with, a coolant manifold 116  
15 that is disposed on, or formed as an integral part of, can 107 of the x-ray tube 101. The  
16 coolant manifold 116 forms a fluid communication path between the inlet conduit 105 and  
17 the fluid passageways 131 (not shown) via an inlet port hole formed in can 107/coolant  
18 manifold 116 (not shown).

19 In particular, fluid communication between inlet conduit 105 and fluid passageways  
20 131 is achieved by aligning an inlet port hole 116A (see Figure 5A) formed in can  
21 107/coolant manifold 116 with fluid passageway 131. Inlet port hole 116A, in turn, is in  
22 fluid communication with manifold inlet/outlet connection 118, discussed elsewhere herein.  
23 As discussed in additional detail below, the coolant introduced from inlet port hole 116A  
24 flows into fluid passageway 131 whereupon each flow circulates in opposing azimuthal  
25 directions. Of course, as the liquid coolant 114 proceeds through fluid passageway 131, heat  
26 is transferred to liquid coolant 114 from the shield structure 108.

Once discharged into the reservoir of housing 112, liquid coolant 114 flows over the external surfaces of the x-ray tube, including the cooling fins 110 of the shield structure 108 as previously described, and cools by convection. Ultimately, the liquid coolant 114 exits the reservoir of housing 112 at reservoir discharge connection 136, and flows back to the heat exchanger/cooling unit 134 to repeat the cycle, as is illustrated in Figure 1. Thus, the convective heat transfer effected by the cooling fins 110 complements the heat transfer

achieved through convective cooling in the fluid passageways 131 and 132, and thus provides a relative increase in the overall rate of heat transfer from the shield structure 108.

It will be appreciated that other arrangements may be used for providing coolant to fluid passageways 131 and 132 could be utilized. For instance, although the inlet port hole 116A is connected to fluid passageway 131, and the outlet port hold 116B to fluid passageway 132, an opposite arrangement could be used. Moreover, multiple inlet ports and/or multiple outlet ports could also be utilized and, as noted, additional manifolds could be used to direct the coolant to other areas of the x-ray tube. Also, one of skill in the art will recognized that different arrangements could be utilized for placing fluid passageways 131 and 132 in fluid communication with each other.

In addition, the relative orientation of the inlet port hole 116A from coolant manifold 116 to the passageways 131 in the lower half of the shield structure 108 may be varied. For example, inlet port hole 116A is preferably positioned directly opposite to, *i.e.*, along a 180 degree angle, the point at which the coolant enters the upper half of the shield structure 108 and passageways 132. That is, inlet port hole 116A is preferably positioned 180 degrees from cavity 200.

This flow scheme is schematically represented in Figure 6A, where coolant enters the lower half of the shield structure 108 via inlet port hole 116A, then splits into two flows that each circulate in opposing azimuthal directions. The two flows then converge at the cavity 200, where it enters the upper half of the shield structure 108 via fluid passageways 132. With this type of setup, the flow rate of the two flows is approximately equal, and thus the rate of heat transfer is approximately equal.

However, as noted, the heat distribution within the shield structure 108 is non-uniform. Namely, the side of the shield that is more proximate to the window 103 is typically subjected to higher temperatures than the opposite side. This is due to the effect imposed by the target angle on the back scattered electrons, *i.e.*, more electrons hit the

1 window side of the electron collection surface 124 than the centerline side. As such, in  
2 another embodiment, the coolant flow rate is increased in that portion of the shield having  
3 a higher thermal content (*i.e.*, the side more proximate to the window 103), which thereby  
4 increases the rate of heat removal.

5 In one embodiment, this is accomplished by varying the relative orientation of the  
6 inlet port hole 116A, and/or cavity 200, with respect to fluid passageways 131. This  
7 particular arrangement is represented in Figure 6B. As is shown, an angle  $\alpha$  of less than 180  
8 degrees is used to orient the inlet port hole 116A with fluid passageway 131 and the cavity  
9 200 on the side proximate to the window 103. This decrease in relative travel distance  
10 increases the coolant flow rate, thereby increasing the convective heat transfer coefficient on  
11 that side and decreasing the shield's temperature gradient in the azimuthal direction.  
12 Consequently, the heat transfer rate on the window side is increased. Conversely, the heat  
13 transfer is decreased on the remaining side of the shield structure 108.

14 Increasing the rate of heat transfer can be accomplished with other approaches as  
15 well. For instance, in the side proximate to the window 103 (or whatever portion has higher  
16 thermal content), the flow area cross section of fluid passageway 131 could be increased, and  
17 the passageway disposed in the opposite/remaining portion of the shield decreased. This  
18 would increase the volume of coolant flow through the portion of the shield having a higher  
19 thermal content, and thus increase the rate of heat transferred by convection.

20 It will be appreciated that the shield assembly 117, shield structure 108, and/or  
21 aperture disk 137 may be embodied in a variety of different ways. Various features of an  
22 exemplary alternative embodiment of the shield structure are indicated in Figures 7 and 8,  
23 where an alternative embodiment of the shield structure is indicated at 108'. As the structure  
24 and operation of this alternative embodiment of the shield structure are similar in many  
25 regards to that of shield structure 108, no additional discussion of the common features and  
26 elements thereof is required. Any material differences between the embodiments depicted



thermally conductive material, such as copper or an aluminum oxide dispersion strengthened copper alloy of the sort used in the shield. Each turn of the coiled wire can have either a circular or noncircular cross section and, optionally, can have non-uniform diameter/thickness. Turns of the coiled wire can be secured to the interior wall of the fluid passageway by brazing, or similar attachment means, which also can increase thermal conduction.

Each coil 300 and 302 augments the heat transfer rate provided by liquid coolant 114 within fluid passageway 131. In particular, the presence of coils 300 and 302 adds additional surface area within fluid passageway 131, which thereby facilitates a relative increase in the transfer of heat over what would otherwise be possible. In addition, coils 300 and 302 break up the boundary layers of liquid coolant 114 as it passes over coils 300 and 302 within fluid passageway 131. Disruption of the coolant boundary layer promotes turbulence in the coolant flow, and thereby improves heat transfer. Moreover, because of the gaps (shown at 139'/161' and 151'/153' in aperture disk 137' of Figure 11) formed in fluid passageways 131, liquid coolant 114 flows both parallel and perpendicular to the axes of coils 300 and 302. This further increases the rate and efficiency at which heat is transferred away from the shield structure 108'.

It will be appreciated that other structures could be used to provide the heat transfer augmentation function performed by coils 300 and 302. Essentially any structural component that provides an extended heat transfer surface within the passageway could be used. For instance, a twisted tape, copper foil type element could be used. Also, wire orientations other than the coil arrangement illustrated could be used.

Various additional features of shield assembly 117' and its operation in conjunction with other components of x-ray tube 101, with particular attention to the flow path of liquid coolant 114, are indicated in the following discussion.



1 In general, and as indicated in Figure 1, the liquid coolant 114 is supplied to the  
2 housing 112 via an inlet conduit 105 disposed within the housing 112 reservoir. The inlet  
3 conduit 105 is connected to a manifold inlet/outlet connection 118 that is affixed, or formed  
4 integrally with, a coolant manifold 116 that is disposed on, or formed as an integral part of,  
5 the can 107 of the x-ray tube 101. The coolant manifold 116 forms a fluid communication  
6 path between the inlet conduit 105 and the fluid passageways 131 (not shown) via an inlet  
7 port hole formed in the manifold (not shown).

8 In particular, fluid communication between inlet conduit 105 and fluid passageways  
9 131 is achieved by orienting the shield structure 108' within the coolant manifold 116 such  
10 that a gap 151/151' (see Figure 11) formed in abutting ridges 133/133' (see Figures 11 and  
11 12B) is aligned with the inlet port hole (not shown) so as to receive incoming liquid coolant  
12 114 from inlet conduit 105. Coolant is thus allowed to flow into passageways 131. As the  
13 coolant enters fluid passageway 131, it splits into two flows, where each flow circulates in  
14 opposing azimuthal directions, as suggested in Figures 13A and 13B. Of course, as the  
15 coolant proceeds through fluid passageway 131, heat is transferred to liquid coolant 114 from  
16 the shield structure 108'.

17 The flow of coolant through shield structure 108' is not necessarily restricted to fluid  
18 passageways 131 however. In the illustrated embodiment, fluid passageway 131 is further  
19 placed in fluid communication with fluid passageway 132. As indicated in Figure 9, this is  
20 accomplished by providing another gap 153 in ridge 133 at a point substantially opposite gap  
21 151, as well as providing a corresponding gap 153' in aperture disk 137' substantially  
22 opposite gap 151'.

23 As indicated in Figures 13A and 13B, a cavity, designated generally at 200, is  
24 defined within the interior wall of recess 155. Cavity 200 is aligned with gap 153, and is  
25 sufficiently large as to facilitate fluid communication between fluid passageway 131 and at  
26 least one of fluid passageways 132. Thus, in this example embodiment, two coolant flows



coolant outlet port 130 and the flow is specifically directed across cooling fins 110. This directed flow more efficiently removes heat from the cooling fins 110. As in Figure 1, the coolant eventually exits the reservoir at the reservoir discharge connection 136 and flows back to the heat exchanger/cooling unit 134 to repeat the cycle.

The embodiment of the cooling system illustrated in Figure 14 enhances cooling of the x-ray tube by: i) providing cooling fins 110 to increase the surface area of the x-ray tube, and in particular the shield structure 108, thereby increasing the rate of convective heat transfer from the x-ray tube structures to the reservoir coolant; ii) directing a portion of the manifold coolant discharge across the fins to increase convective heat transfer from the fins, thus augmenting the convective cooling effect of the fins; and iii) convectively cooling the interior of the shield structure. The combined effect of the fluid passageways, external fins, and dual discharge manifold is to significantly increase the rate at which heat is removed from the x-ray tube. The enhanced heat transfer rate serves to reduce x-ray tube operating temperatures and thus the resultant thermal mechanical stresses, and substantially prevents thermal breakdown of the coolant, thereby extending the life of the coolant and, accordingly, the x-ray tube.

It will be appreciated that while the aforementioned preferred embodiment teaches a dual outlet flow diverter, it should be recognized that a flow diverter with multiple outlets could be utilized. Accordingly, an x-ray tube cooling system employing a multiple outlet (*i.e.*, greater than two) flow diverter is contemplated as being within the scope of the present invention.

As noted above, the excessive temperatures present in the area of the shield and aperture disk assembly cause mechanical stresses that can be especially problematic in areas where two components are attached. These areas are often the most subject to failure. As such, embodiments of the present system are directed to addressing this problem, especially where the shield structure 108 and the aperture disk 137 to the can 107. In particular, an

1 improved braze joint configuration between the aperture disk 137 and the can 107 is  
2 provided. Instead of providing a joint that is brazed only on a horizontal surface, as is  
3 common in the prior art, the aperture disk is brazed to the can on both a horizontal as well  
4 as a vertical surface. Preferred embodiments of this brazing arrangement are shown in  
5 Figures 15 and 16, to which reference is now made.

6 Figure 15 is a simplified view of a cathode cylinder 102 affixed to a shield structure  
7 108 and aperture disk 137, which is in turn affixed to can 107. Figure 16 is a section view  
8 taken along lines 16-16 in Figure 15, which illustrates one presently preferred embodiment  
9 of the braze joint between the can 107 and the aperture disk 137. As is shown, the aperture  
10 disk 137 includes a shoulder region 350 that projects outwardly around the aperture disk 137  
11 periphery. The can 107 includes a correspondingly shaped shoulder region 352 that mates  
12 with that of the aperture disk 137. In particular, it is shown how the two shoulder regions  
13 together form a horizontal mating region at 402, as well as a vertical mating region 400.  
14 These two regions can be brazed together. The arrangement is particularly advantageous in  
15 that it decreases the stresses between the aperture disk 137 and the can 107 by factors of six  
16 or more in preferred embodiments, when compared to joint arrangements having a braze only  
17 along a horizontal surface. As such, the improved braze joint better resists stresses  
18 associated with the extreme temperatures of the x-ray tube, resulting in a device that is less  
19 subject to failure and that provides a longer overall operational life.

20 The present invention may be embodied in other specific forms without departing  
21 from its spirit or essential characteristics. The described embodiments are to be considered  
22 in all respects only as illustrative and not restrictive. The scope of the invention is, therefore,  
23 indicated by the appended claims rather than by the foregoing description. All changes  
24 which come within the meaning and range of equivalency of the claims are to be embraced  
25 within their scope.

26 What is claimed and desired to be secured by United States Letters Patent is:





10. The x-ray tube according to Claim 9, wherein the plurality of extended surfaces disposed on the outer surface of the shield structure are formed integrally with the shield structure.

11. The x-ray tube according to Claim 9, further comprising a fluid flow conduit that directs at least a portion of the coolant that has passed through the at least one fluid passageway directly across at least a portion of the plurality of extended surfaces disposed on the shield structure so that heat is transferred from the extended surfaces to the directed coolant.

12. The x-ray tube according to Claim 9, wherein the shield structure and the extended surfaces disposed thereon are comprised of an aluminum oxide dispersion strengthened copper alloy.

13. The x-ray tube according to Claim 1, wherein the at least one fluid passageway is formed as a fluid passageway that defines at least two fluid pathways within a bottom section of the shield structure.

14. The x-ray tube according to Claim 13, wherein the two fluid pathways are formed by matingly attaching a main body portion of the shield structure to an aperture disk.

15. The x-ray tube according to Claim 1, wherein the at least one fluid passageway is formed as a fluid passageway formed within a side of the shield structure.

18. The x-ray tube according to Claim 17, wherein the fluid passageway formed within the bottom section of the shield structure, and the fluid passageway formed within the side of the shield structure are in fluid communication with each other.



19. An x-ray tube cooling system comprising:

- (a) a reservoir containing coolant that is continuously circulated through the reservoir by an external cooling unit;
- (b) a shield structure defining an aperture that allows electrons to pass from an electron source to a target anode and that prevents electrons that rebound from the target anode from re-striking the anode target;
- (c) a coolant manifold having an inlet and an outlet port, the inlet port receiving coolant from the cooling unit;
- (d) at least one fluid passageway defined at least partially by the shield structure, wherein the at least one fluid passageway receives coolant from the inlet port and discharges the coolant at the outlet port, the coolant absorbing heat from the shield structure as the coolant flows through the at least one fluid passageway; and
- (e) means for facilitating nucleate boiling of the coolant in the at least one fluid passageway.

20. The x-ray tube cooling system according to Claim 19, wherein the means for facilitating nucleate boiling of the coolant in the at least one fluid passageway comprises at least one depression defined in at least one surface of the at least one fluid passageway, and the at least one depression being in contact with the coolant in the at least one fluid passageway.

21. The x-ray tube cooling system according to Claim 20, wherein the at least one depression comprises at least one microgroove.

22. The x-ray tube cooling system according to Claim 20, wherein the at least one depression has a substantially "V" shaped cross section.

27. The x-ray tube cooling system according to Claim 19, wherein the at least one fluid passageway permits coolant to flow through a first and a second section of the shield structure, and in a manner so that heat is transferred away from the first section at a relatively greater rate than from the second section.

[illegible]

29. The x-ray tube cooling system according to Claim 27, wherein the cross-sectional flow area of the fluid passageway in the first section is greater than the cross-sectional flow area of the fluid passageway in the second section so that the rate of fluid flow through the first section is relatively greater than the rate of fluid flow through the second section.

- (a) providing at least a first fluid path and a second fluid path through a corresponding fluid passageway defined at least partially by the shield structure;
- (b) directing a liquid coolant through an inlet to the first and the second fluid paths;
- (c) causing nucleate boiling of at least a portion of the liquid coolant in the fluid passageway;
- (d) discharging the liquid coolant from an outlet connected to the first and the second fluid paths;
- (e) directing at least a portion of the discharged liquid coolant across a plurality of extended fin surfaces formed on an outside surface of the shield structure;
- (f) circulating the liquid coolant through a cooling unit; and
- (g) repeating steps (b) through (f).

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Varian No. 00-07

1           32. In an x-ray generating apparatus comprising an evacuated envelope at least  
2 partially disposed within a reservoir containing coolant, and the envelope having mounted  
3 therein an electron source for generating an electron beam and a spaced apart rotatable anode  
4 target for receiving at least a portion of the electron beam, a shield assembly disposed  
5 between the electron source and the anode target, the shield assembly comprising:

- 6           (a) a shield structure defining an aperture therein for allowing the electron beam  
7 to pass from the electron source to the anode target;  
8           (b) an electron collection surface disposed about the aperture and oriented in a  
9 manner so as to face the electron source; and  
10          (c) an aperture disk, the aperture disk cooperating with the shield structure to at  
11 least partially define at least one fluid passageway so that coolant flowing through  
12 the at least one fluid passageway absorbs at least some heat from the shield  
13 assembly, and at least one depression being defined in at least one surface of the at  
14 least one fluid passageway and being in contact with the coolant so as to facilitate  
15 nucleate boiling of the coolant flowing through the at least one fluid passageway.

16  
17           33. The shield assembly according to Claim 32, wherein the at least one  
18 depression comprises at least one microgroove of substantially "V" shaped cross section.

19  
20           34. The shield assembly according Claim 32, further comprising a plurality of  
21 extended surfaces disposed on at least one surface of the at least one fluid passageway, the  
22 plurality of extended surfaces being at least partially in contact with the coolant as it flows  
23 through the at least one fluid pasageway.

1           35.    The shield assembly according to Claim 34, wherein the plurality of extended  
2 surfaces comprise a plurality of microridges each having a substantially "V" shaped cross  
3 section.

4  
5           36.    The shield assembly according to Claim 32, wherein the shield structure is  
6 affixed to the evacuated envelope with a braze material placed along a joint formed along  
7 both a horizontal surface and a vertical surface of the main body portion and the evacuated  
8 envelope.

9  
10          37.    The shield assembly according to Claim 32, wherein the at least one fluid  
11 passageway permits coolant to flow through a first section and a second section of the main  
12 shield structure, and in a manner so that heat is transferred away from the first section at a  
13 relatively greater rate than from the second section.

14  
15          38.    The shield assembly according to Claim 32, further comprising a plurality of  
16 extended cooling surfaces disposed about the outer periphery of the shield structure, the  
17 second plurality of cooling surfaces at least partially defining at least a second fluid  
18 passageway when the shield structure is affixed to the evacuated envelope, a portion of the  
19 coolant circulation through the at least a second fluid passageway to facilitate removal of  
20 heat from the shield structure.

21  
22          39.    The shield assembly according to Claim 32, further comprising a plurality of  
23 adjacent and extended cooling surfaces disposed on an outer surface of the shield structure,  
24 the extended surfaces being at least partially in contact with the coolant disposed within the  
25 reservoir so that at least a portion of the heat generated at the electron collection surface is  
26 transferred to the coolant via the plurality of cooling surfaces.

41. The shield assembly according to Claim 39, wherein the shield structure and the plurality of adjacent and extended cooling surfaces are comprised of an aluminum oxide dispersion strengthened copper alloy material.

### ABSTRACT OF THE INVENTION

An improved x-ray tube cooling system is disclosed. The system utilizes a shield structure that is connected between a cathode cylinder and an x-ray tube housing and is disposed between the electron source and the target anode. The shield includes a plurality of cooling fins to improve overall cooling of the x-ray tube and the shield so as to extend the life of the x-ray tube and related components. When immersed in a reservoir of coolant fluid, the fins facilitate improved heat transfer by convection from the shield to the coolant fluid. The cooling effect achieved with the cooling fins is further augmented by a convective cooling system provided by a plurality of fluid passageways formed within the shield, which are used to provide a fluid path to the coolant. In particular, a cooling unit takes fluid from the reservoir, cools the fluid, then circulates the cooled fluid through the fluid passageways. One or more depressions of "V" shaped cross section defined on the surfaces of the fluid passageways serve to facilitate nucleate boiling of the coolant in the passageway, and thereby materially increase the heat flux through the passageway to the coolant. Additionally, one or more extended surfaces disposed on the surfaces of the fluid passageways also facilitate a relative increase in the rate of heat transfer from the shield structure to the coolant. After flowing through the fluid passageway, the coolant is then discharged from the fluid passageways and directed over the cooling fins. In some embodiments, the fluid passageways are oriented so as to provide a greater heat transfer rate in certain sections of the shield than in other sections. Also disclosed is an improved braze joint for connecting the shield to the x-ray tube housing.

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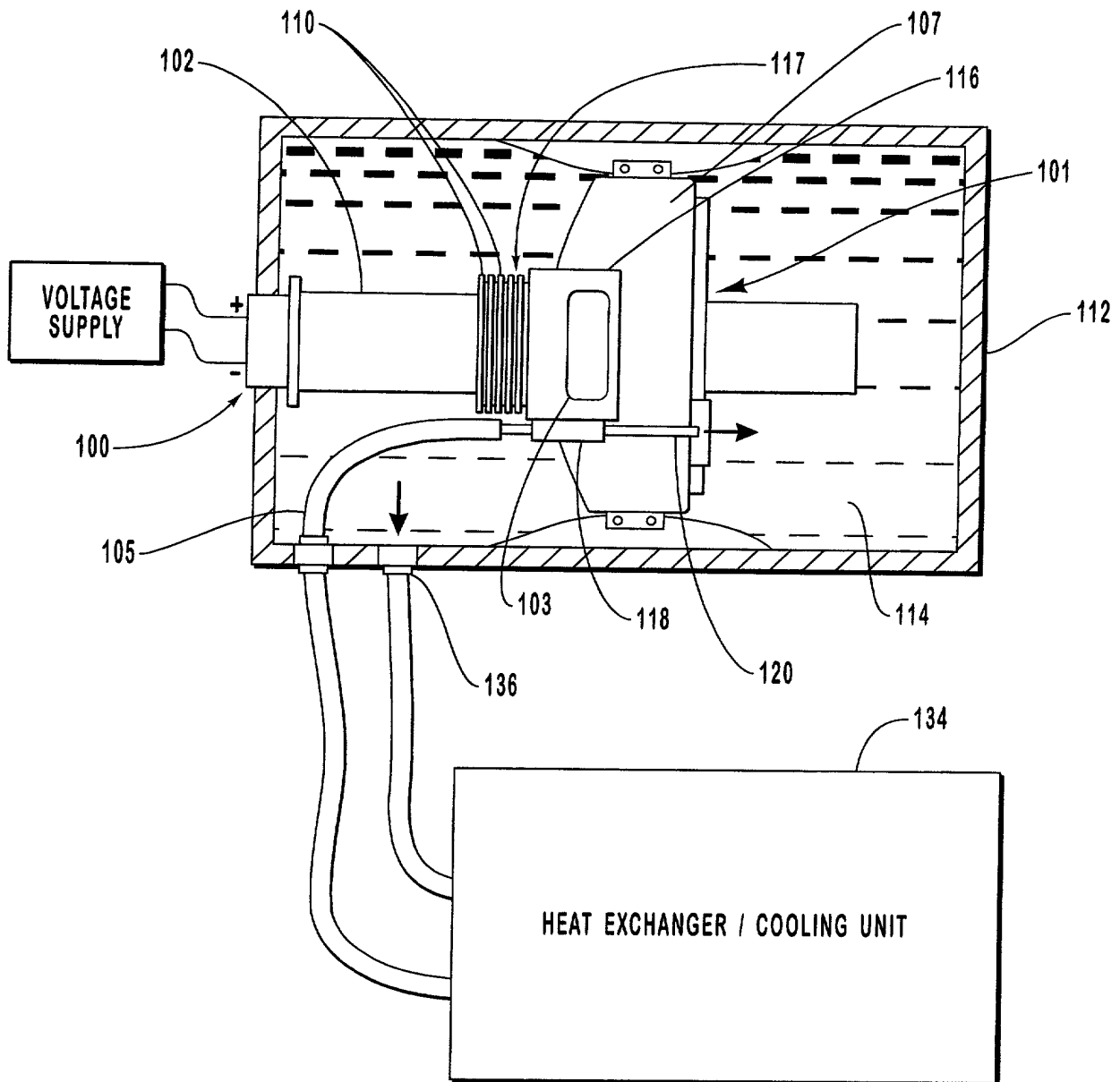
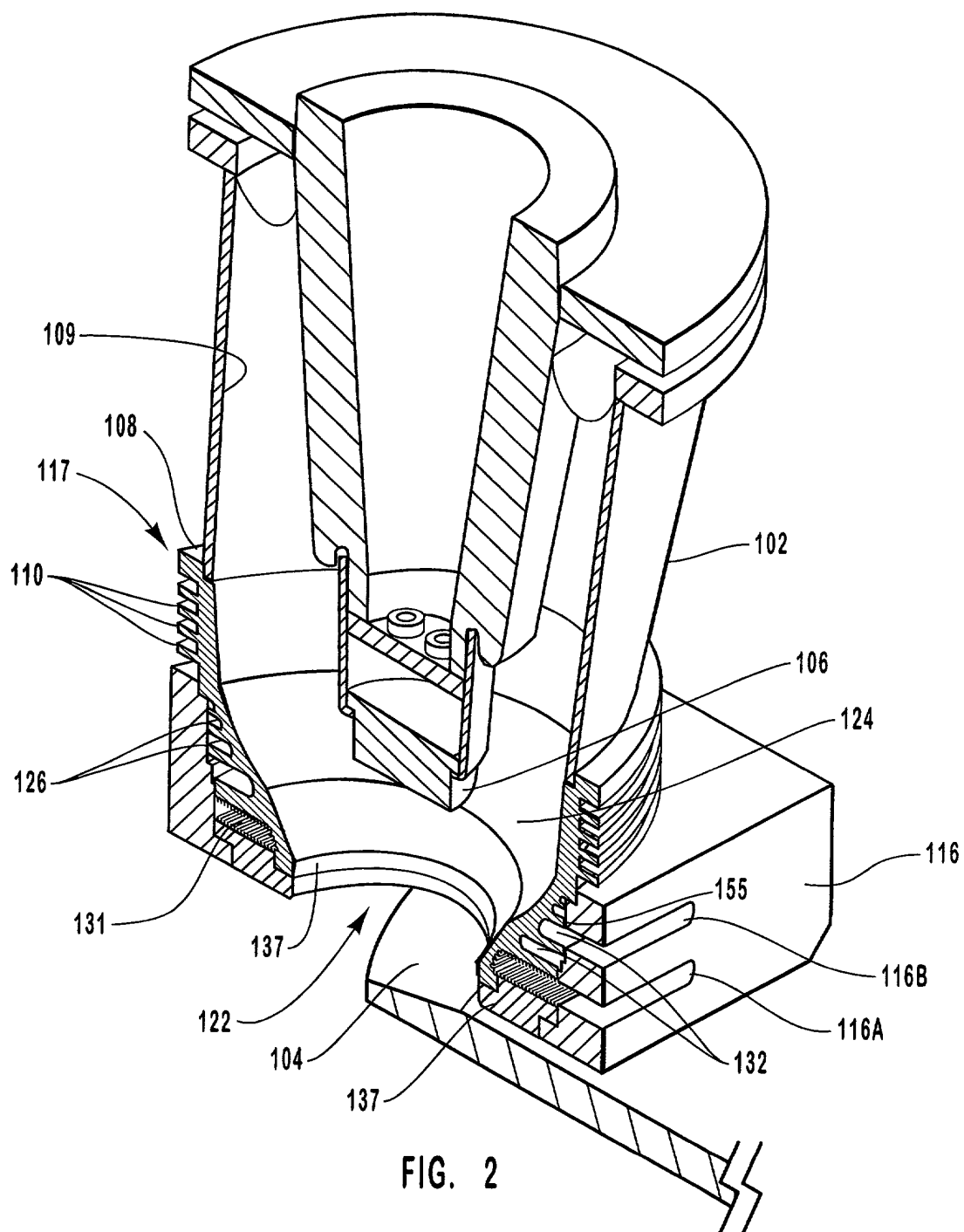


FIG. 1



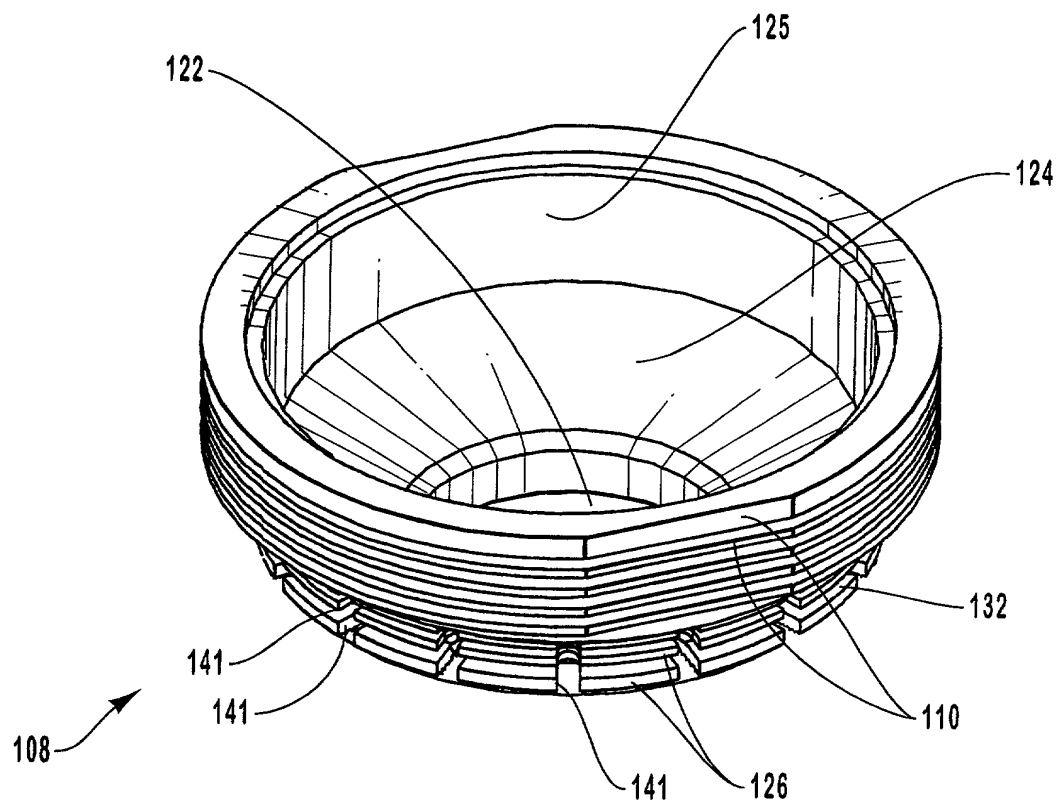


FIG. 3

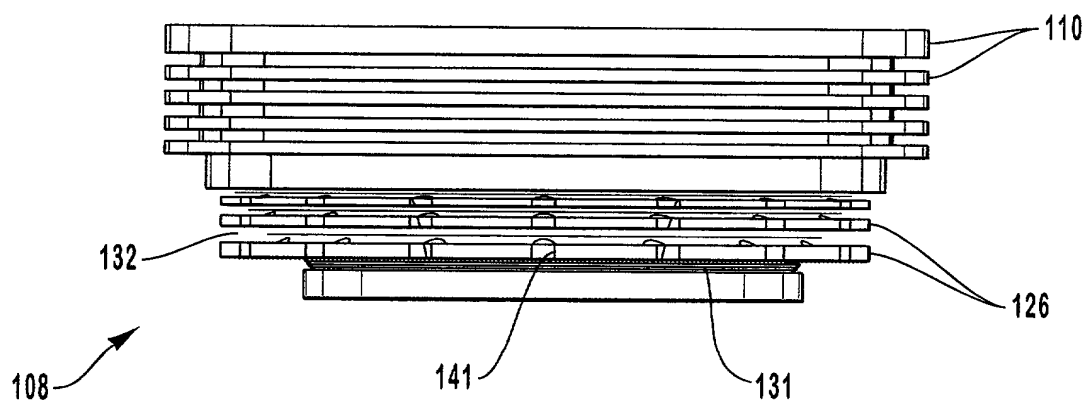


FIG. 4

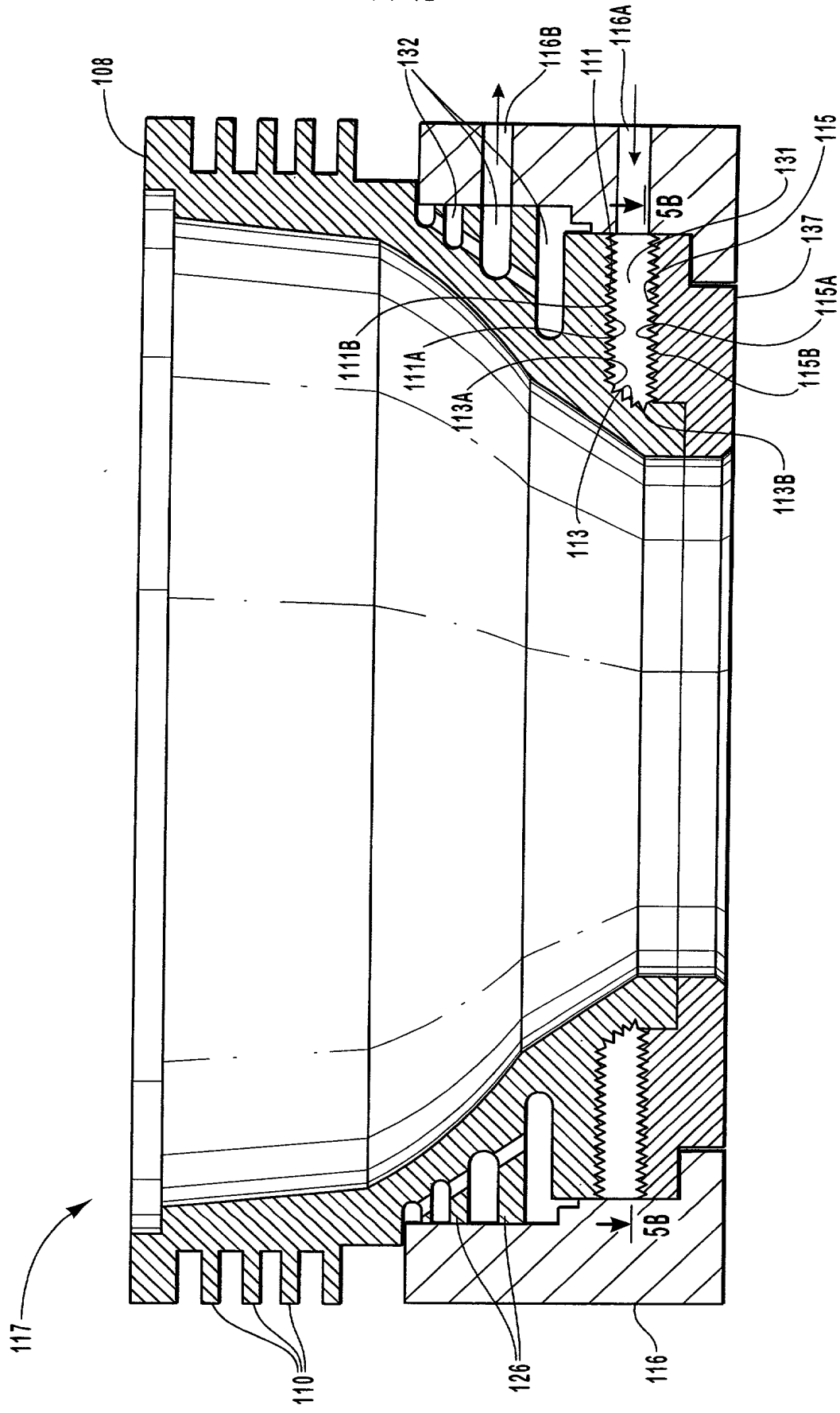


FIG. 5A

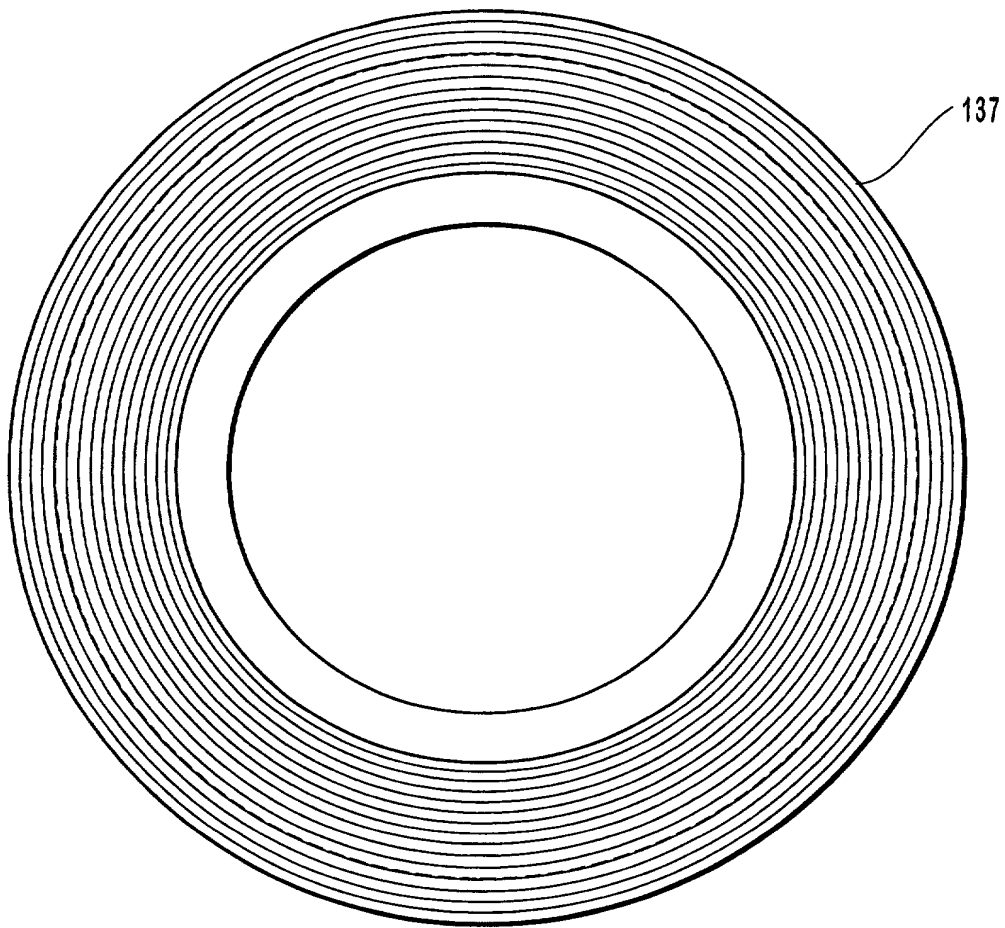
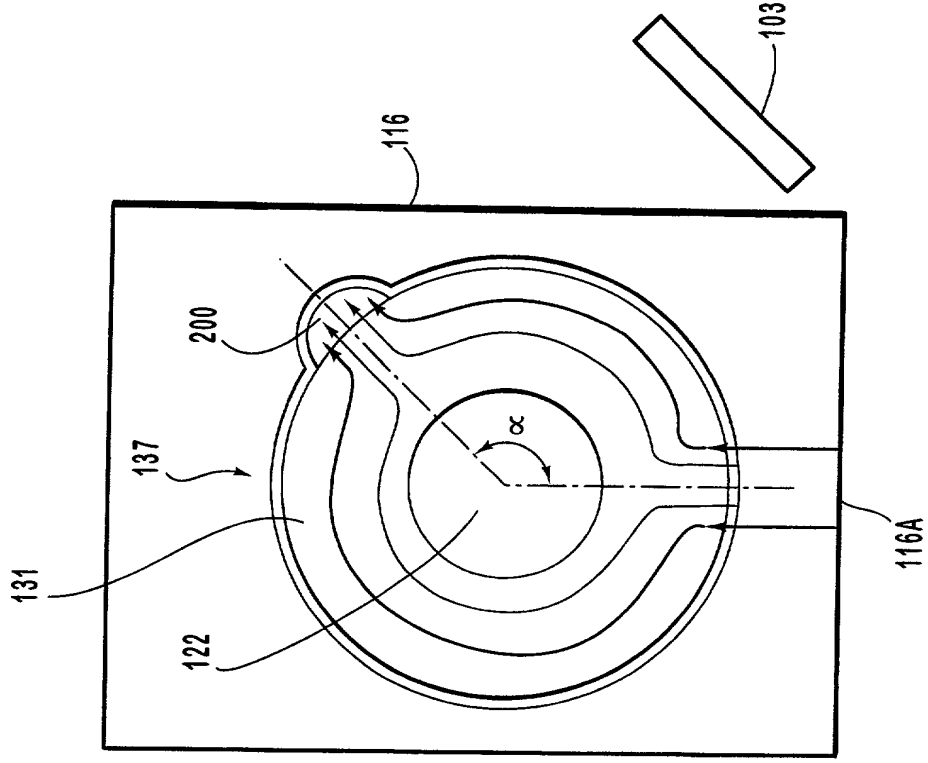
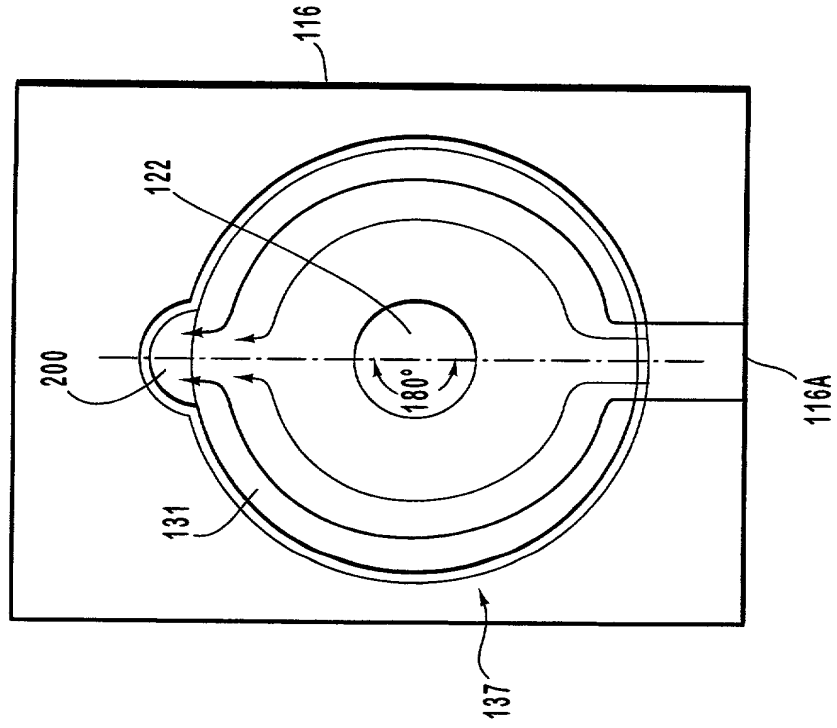


FIG. 5B



**FIG. 6B**



**FIG. 6A**

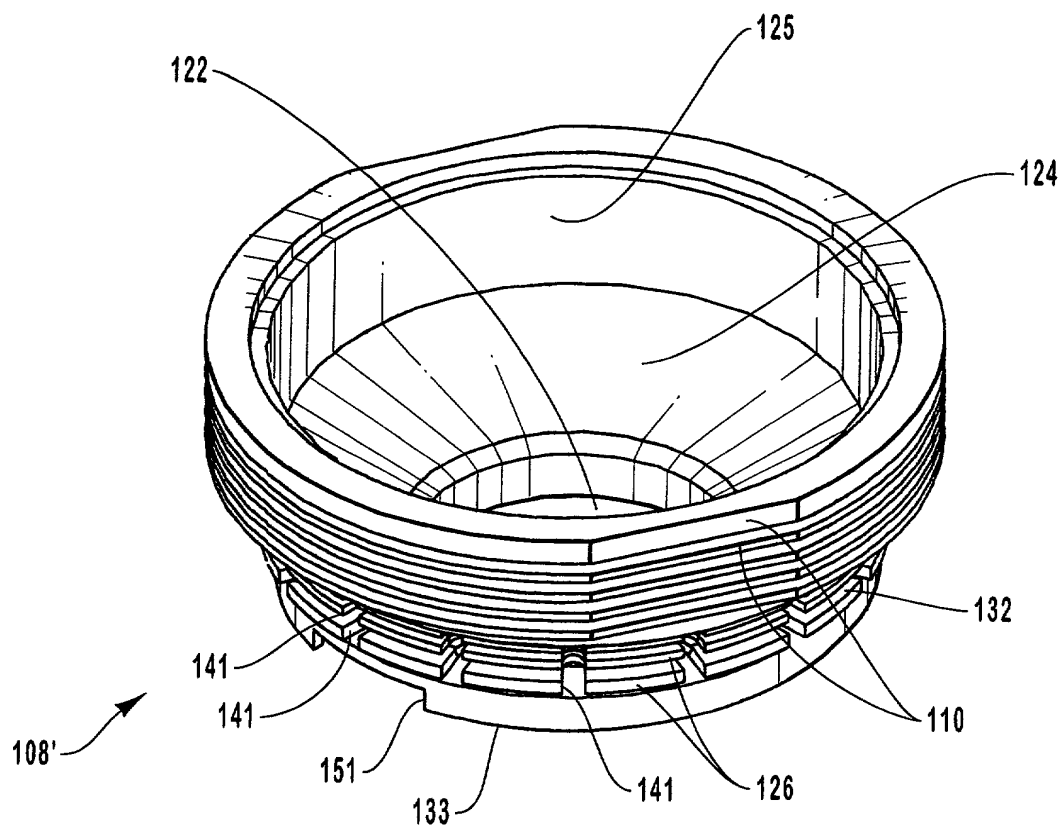


FIG. 7

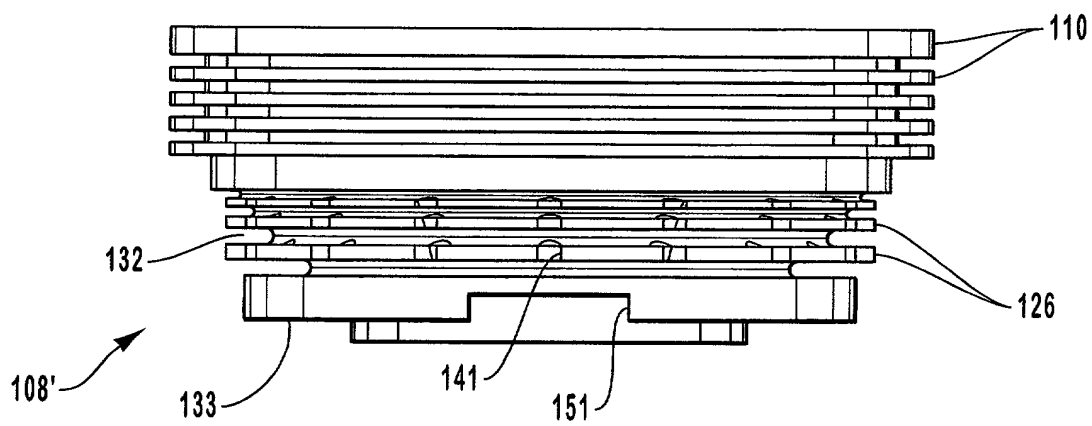


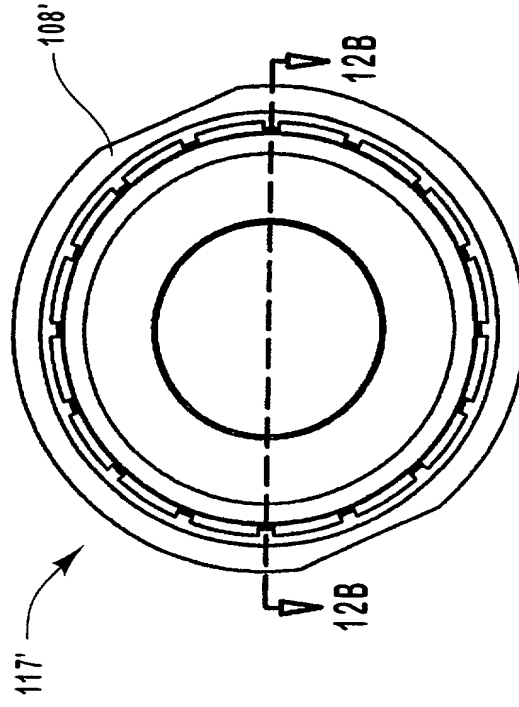
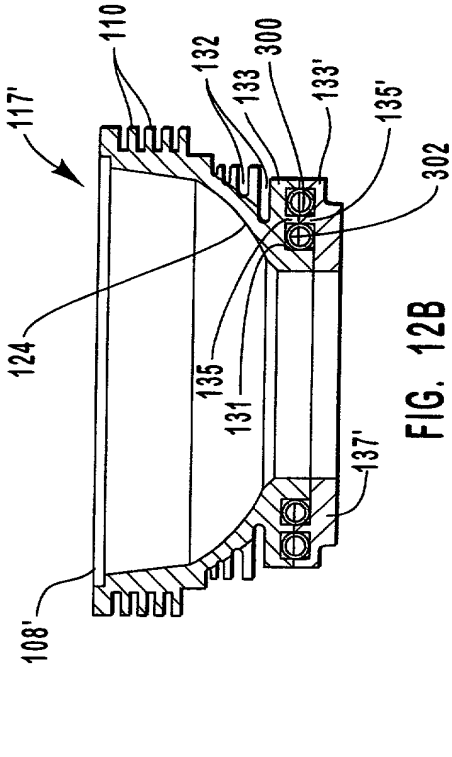
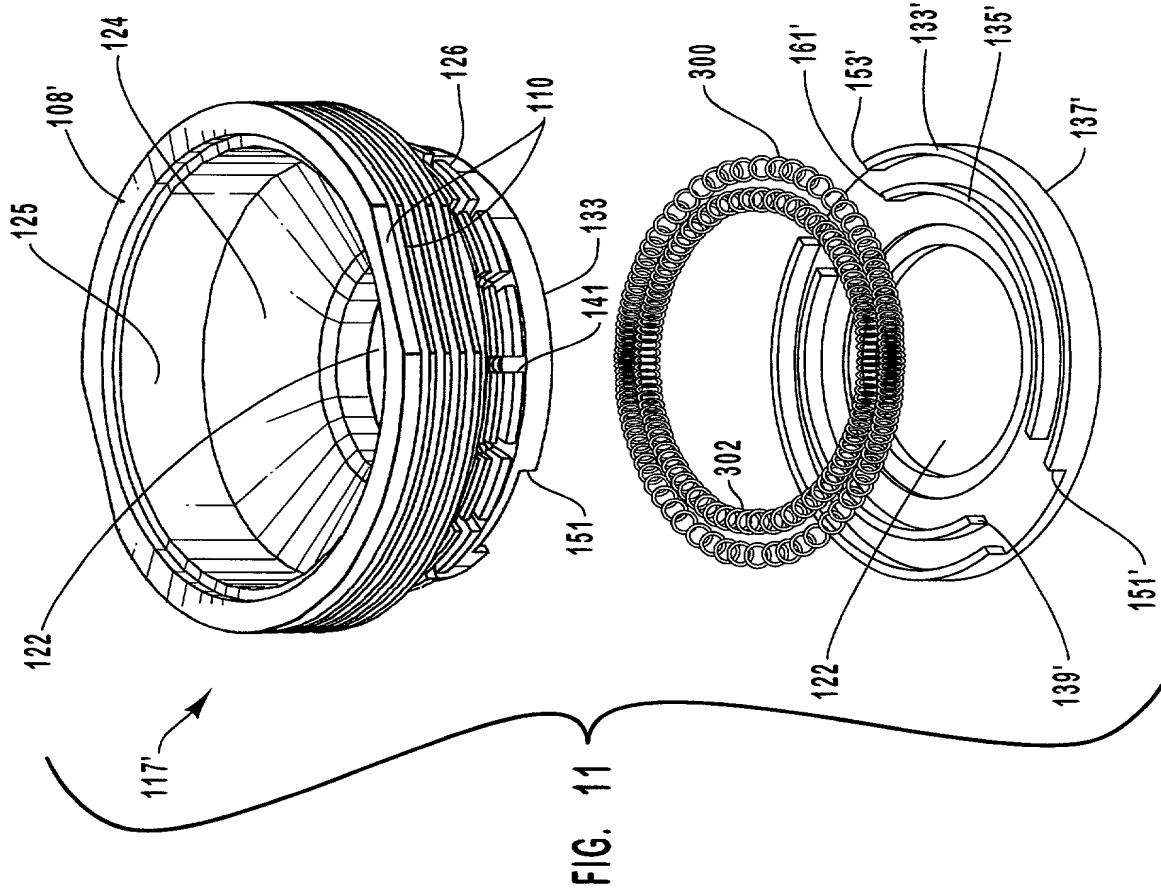
FIG. 8



FIG. 10







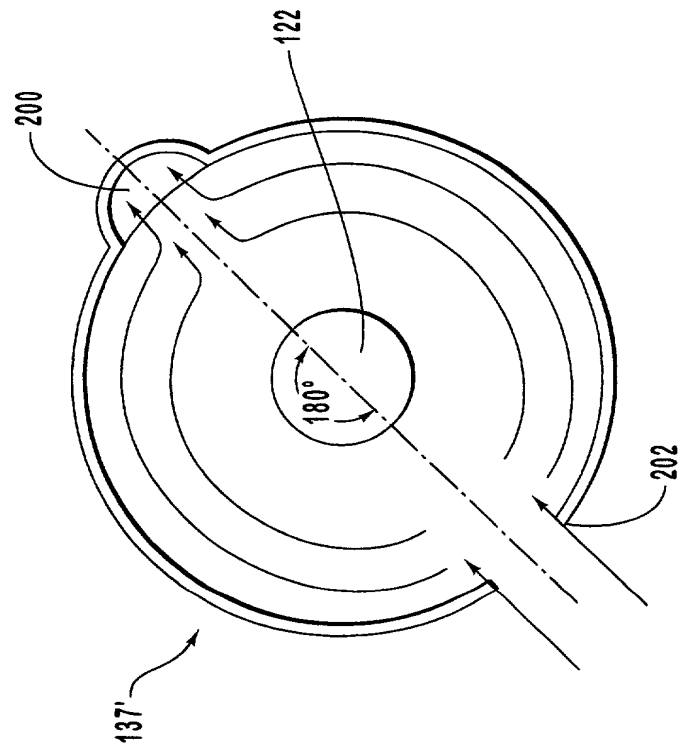
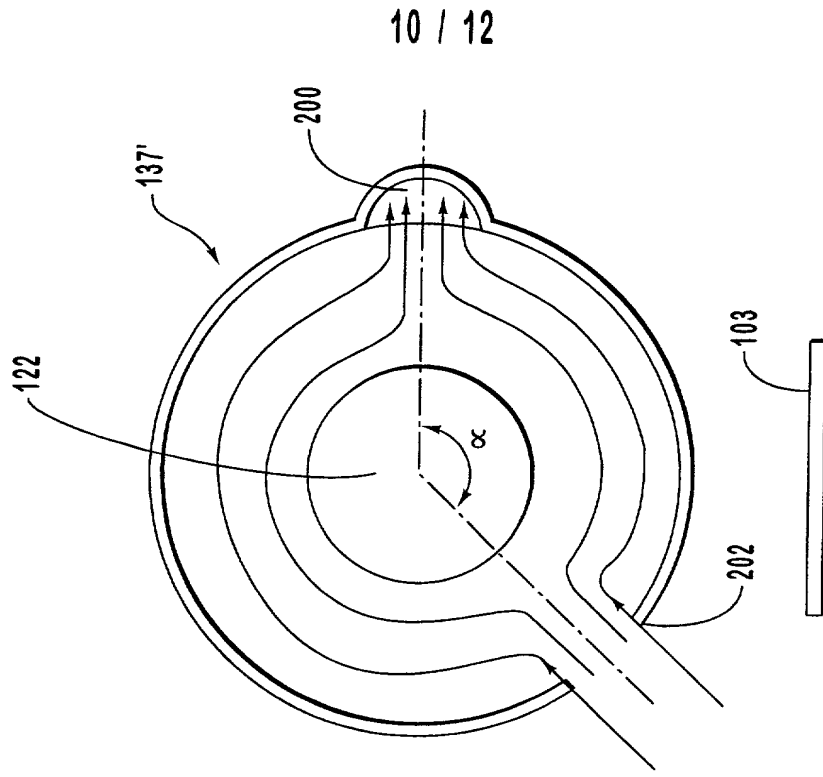


FIG. 13A



**FIG. 13B**

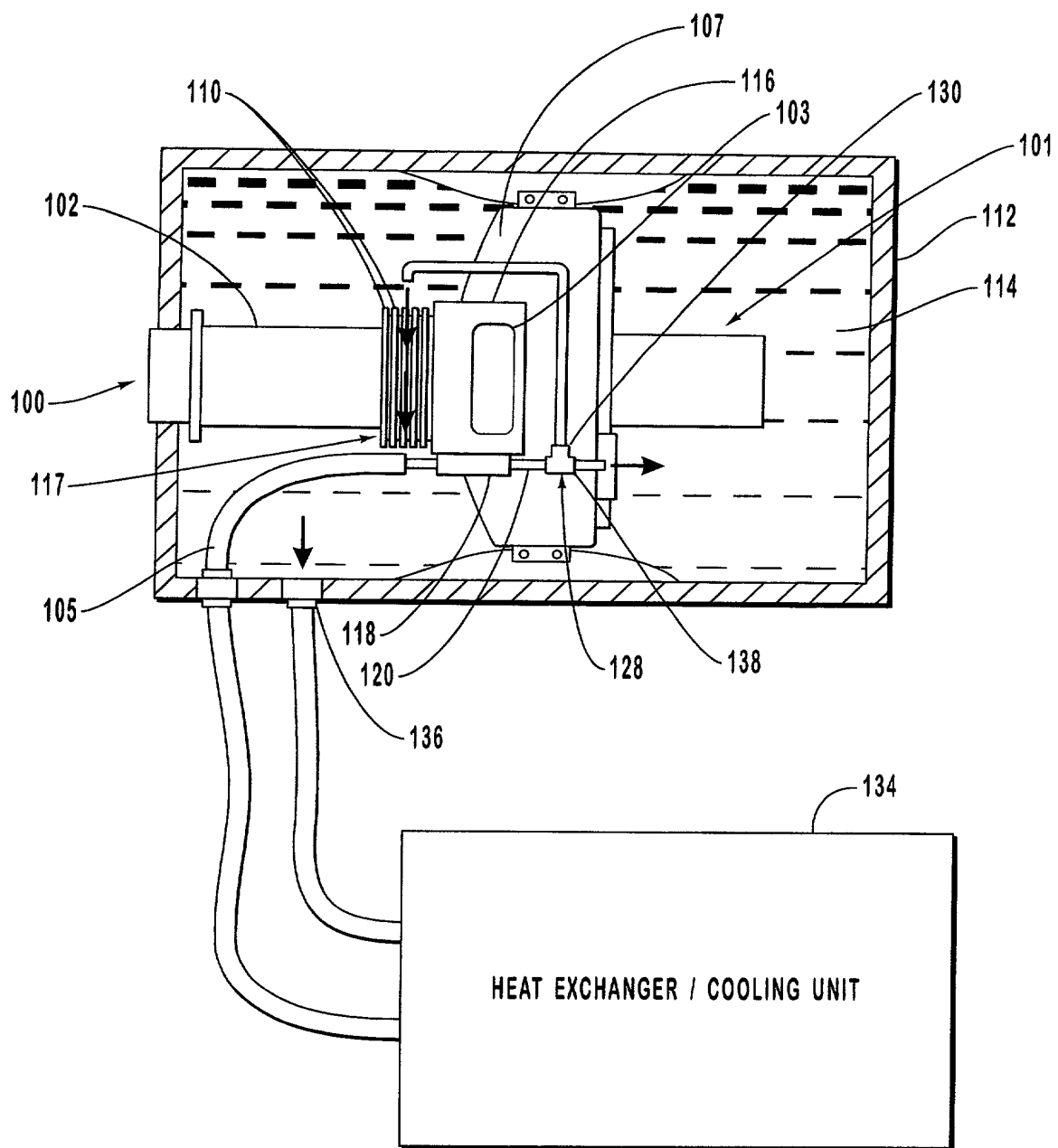


FIG. 14

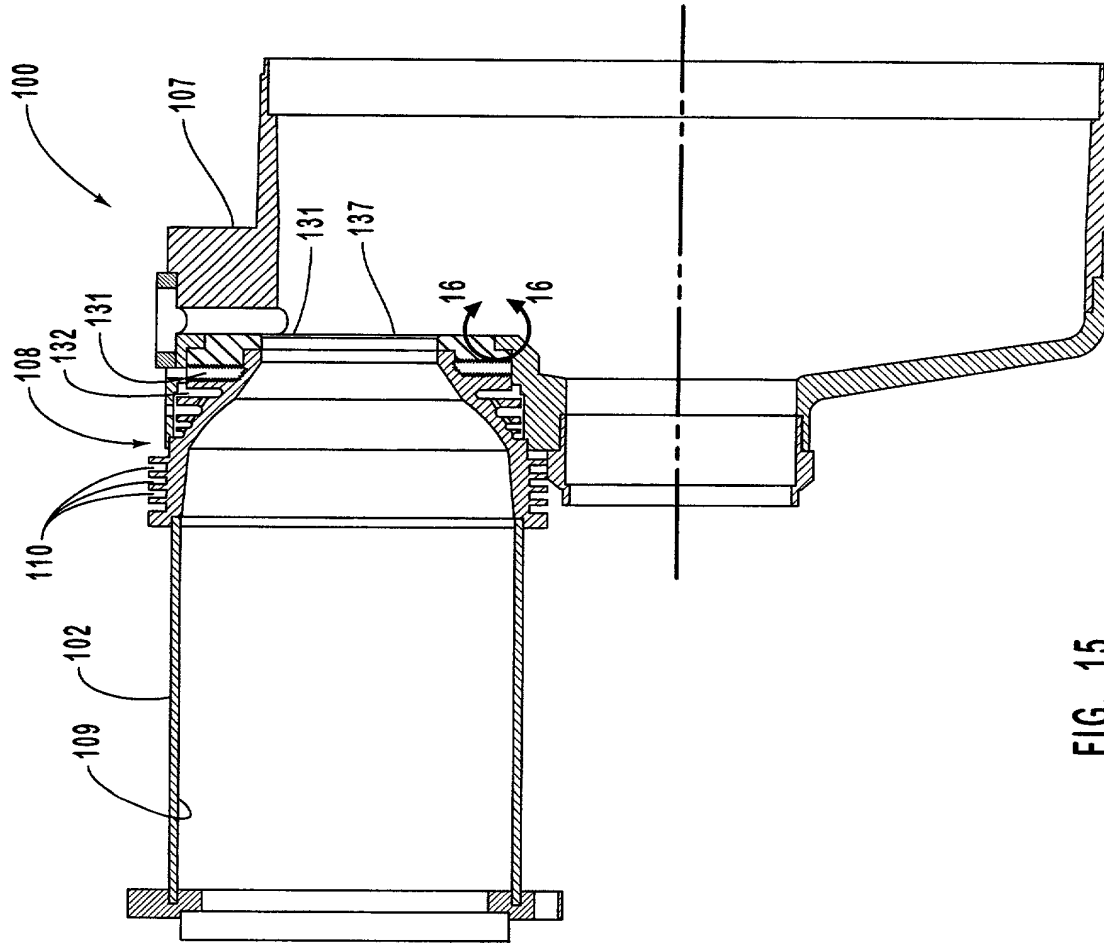


FIG. 15

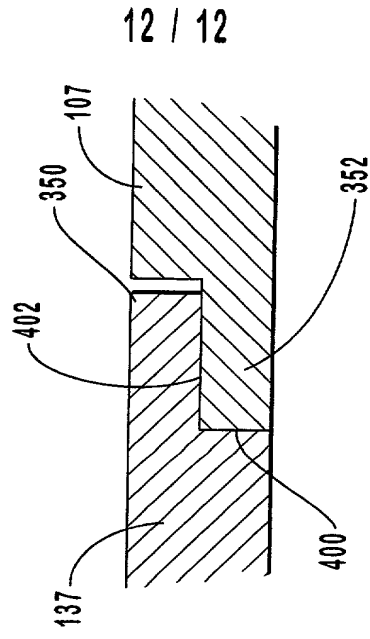


FIG. 16

DECLARATION, POWER OF ATTORNEY, AND PETITION

I, Gregory C. Andrews declare: that I am a citizen of the United States of America; that my residence and post office address is 8129 Grambling Way, Sandy, Utah 84094; that I verily believe I am the original, first, and sole inventor of the subject matter of the invention or discovery entitled LARGE SURFACE AREA X-RAY TUBE SHIELD STRUCTURE for which a patent is sought and which is described and claimed in the specification attached hereto; that I have reviewed and understand the contents of the above-identified specification, including the claims; and that I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Section 1.56(a) of Title 37 of the Code of Federal Regulations.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

I hereby appoint as my attorneys and/or patent agents: RICK D. NYDEGGER, Registration No. 28,651; DAVID O. SEELEY, Registration No. 30,148; JONATHAN W. RICHARDS, Registration No. 29,843; JOHN C. STRINGHAM, Registration No. 40,831; BRADLEY K. DeSANDRO, Registration No. 34,521; JOHN M. GUYNN, Registration No. 36,153; CHARLES L. ROBERTS, Registration No. 32,434; GREGORY M. TAYLOR, Registration No. 34,263; DANA

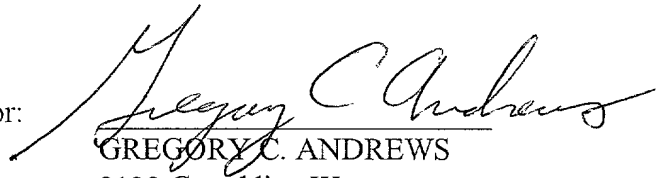
L. TANGREN, Registration No. 37,246; KEVIN B. LAURENCE, Registration No. 38,219; ERIC L. MASCHOFF, Registration No. 36,596; C. J. VEVERKA, Registration No. 40,858; ROBYN L. PHILLIPS, Registration No. 39,330; RICHARD C. GILMORE, Registration No. 37,335; DAVID B. DELLENBACH, Registration No. 39,166; JOHN N. GREAVES, Registration No. 40,362; KEVIN K. JOHANSON, Registration No. 38,506; DAVID L. GRIFFIN, Registration No. 44,136; R. BURNS ISRAELEN, Registration No. 42,685; DAVID R. TODD, Registration No. 41,348; JESÚS JUANÓS i TIMONEDA, Registration No. 43,332; STEPHEN D. PRODNUK, Registration No. 43,020; R. PARRISH FREEMAN, JR., Registration No. 42,556; ADRIAN J. LEE, Registration No. 42,785; and KYLE H. FLINDT, Registration No. 42,539; ERIC M. KAMERATH, Registration No. 46,081 and WILLIAM J. ATHAY, Registration No. 44,515, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. All correspondence and telephonic communications should be directed to:

ERIC L. MASCHOFF  
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, declaration, power of attorney, and this petition.

Signed at SALT LAKE, UTAH, this 29 day of  
August 2000.

Inventor:



GREGORY C. ANDREWS

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